PART 700—STANDARDS FOR THE CONDUCT AND EVALUATION OF ACTIVITIES CARRIED OUT BY THE OFFICE OF EDUCATIONAL RESEARCH AND IMPROVEMENT (OERI)—EVALUATION OF APPLICATIONS FOR GRANTS AND COPERATIVE AGREEMENTS AND PROPOSALS FOR CONTRACTS

#### Subpart A—General

Sec.

700.1 What is the purpose of these standards?

700.2 What activities must be governed by these standards?

700.3 What additional activities may be governed by these standards?

700.4 What definitions apply?

700.5 What are the processes of open competition?

### Subpart B—Selection of Peer Reviewers

700.10 When is the peer review process used?

700.11 Who may serve as peer reviewers? 700.12 What constitutes a conflict of interest for grants and cooperative agree-

ments?
700.13 What constitutes a conflict of interest for contracts?

#### Subpart C—The Peer Review Process

700.20 How many peer reviewers will be used?

700.21 How are applications for grants and cooperative agreements evaluated?

700.22 How are proposals for contracts evaluated?

#### Subpart D—Evaluation Criteria

700.30 What evaluation criteria are used for grants and cooperative agreements?

700.31 What additional evaluation criteria shall be used for grants and cooperative agreements?

700.32 What evaluation criteria shall be used for contracts?

### Subpart E—Selection for Award

 $700.40\,$  How are grant and cooperative agreement applications selected for award?

700.41 How are contract proposals selected for award?

AUTHORITY: 20 U.S.C. 6011(i).

Source: 60 FR 47810, Sept. 14, 1995, unless otherwise noted.

### Subpart A—General

## § 700.1 What is the purpose of these standards?

- (a) The standards in this part implement section 912(i) of the Educational Research, Development, Dissemination, and Improvement Act of 1994.
- (b) These standards are intended to ensure that activities carried out by the Office of Educational Research and Improvement (the Office) meet the highest standards of professional excellence.

(Authority: 20 U.S.C. 6011(i)(1))

## § 700.2 What activities must be governed by these standards?

- (a) The standards in this part are binding on all activities carried out by the Office using funds appropriated under section 912(m) of the Educational Research, Development, Dissemination, and Improvement Act of 1994.
- (b) Activities carried out with funds appropriated under section 912(m) of the Act include activities carried out by the following entities or programs:
  - (1) The National Research Institutes.
- (2) The Office of Reform Assistance and Dissemination.
- (3) The Educational Resources Information Center Clearinghouses.
- (4) The Regional Educational Laboratories.
- (5) The Teacher Research Dissemination Demonstration Program.
  (6) The Goals 2000 Community Part-
- nerships Program.
- (7) The National Educational Research Policy and Priorities Board.

(Authority: 20~U.S.C.~6011(i)(1))

# § 700.3 What additional activities may be governed by these standards?

(a) The Secretary may elect to apply the standards in this part to activities carried out by the Department using funds appropriated under an authority other than section 912(m) of the Act.

(b)(1) If the Secretary elects to apply these standards to a competition for new grant or cooperative agreement awards, the Secretary announces, in a notice published in the FEDERAL REGISTER, the extent to which these standards are applicable to the competition.

### § 700.4

(2) If the Secretary elects to apply these standards to a solicitation for a contract award, the Secretary announces in the request for proposals the extent to which these standards are applicable to the solicitation.

(Authority: 20 U.S.C. 6011(i)

#### § 700.4 What definitions apply?

(a) Definitions in the Educational Research, Development, Dissemination, and Improvement Act of 1994. The following terms used in this part are defined in 20 U.S.C. 6011(1):

Development Office

Dissemination National Research
Educational Institute
Research Technical Assistance

(b) Definitions in Education Department General Administrative Regulations. The following terms used in this part are defined in 34 CFR 77.1:

Applicant Grant
Application Project
Award Secretary

Department

(c) Definitions in the Federal Acquisition Regulation. The following terms used in this part are defined in 48 CFR chapter 1:

Contracting Officer Proposal Employee of an Solicitation Agency

(d) *Other definitions*. The following definitions also apply to this part:

Act means the Educational Research, Development, Dissemination, and Improvement Act of 1994 (Title IX of Pub. L. 103–227, 108 Stat. 212).

EDAR means the Education Department Acquisition Regulation, 48 CFR chapter 34.

 $E\overline{D}GAR$  means the Education Department General Administrative Regulations, 34 CFR parts 74, 75, 77, 79, 80, 81, 82, 85 and 86. FAR means the Federal Acquisition Regulation, 48 CFR chapter 1.

(Authority: 20 U.S.C. 6011)

# § 700.5 What are the processes of open competition?

The Secretary uses a process of open competition in awarding or entering into all grants, cooperative agreements, and contracts governed by these standards. The processes of open competition are the following:

- (a) For all new awards for grants and cooperative agreements, the Secretary will make awards pursuant to the provisions of EDGAR with the exception of the provisions in 34 CFR 75.100(c)(5), 75.200(b)(3), (b)(5), 75.210, and 75.217(b)(1), (b)(2), (c), and (d); and
- (b) For contracts, the Department will conduct acquisitions pursuant to this part in accordance with the requirements of the Competition in Contracting Act, 41 U.S.C. 253, and the FAR.

 $(Authority;\ 20\ U.S.C.\ 6011(i)(2);\ 41\ U.S.C.\ 253)$ 

# Subpart B—Selection of Peer Reviewers

## § 700.10 When is the peer review process used?

The Secretary uses a peer review process—

- (a) To review and evaluate all applications for grants and cooperative agreements and proposals for those contracts that exceed \$100,000;
- (b) To review and designate exemplary and promising programs in accordance with section 941(d) of the Act; and
- (c) To evaluate and assess the performance of all recipients of grants from and cooperative agreements and contracts with the Office.

(Authority: 20 U.S.C. 6011(i)(2)(B))

## § 700.11 Who may serve as peer reviewers?

- (a) An individual may serve as a peer reviewer for purposes of reviewing and evaluating applications for new awards for grants and cooperative agreements and contract proposals if the individual—
- (1) Possesses the following qualifications:
- (i) Demonstrated expertise, including training and experience, in the subject area of the competition.
- (ii) In-depth knowledge of policy or practice in the field of education.
- (iii) In-depth knowledge of theoretical perspectives or methodological approaches in the subject area of the competition; and